Superior Court of California
County of Santa Clara

191 North First Street
San Jose, California 95113
(408) 882-2700

David H. Yamasaki
Chief Executive Officer

MARVIN BELL
Director-Finance

October 28, 2013

To: General Public

From: Marvin Bell, Director of Finance
Superior Court of California, County of Santa Clara

RE: Government Code Section 68511.7-Public Notice/Baseline Budget Plan

Notice is hereby given that, in accordance with Government Code Sec. 68511.7, the Superior Court of California, County of Santa Clara, has published its baseline budget plan for fiscal year 2013-14 on its website at http://www.scscourt.org/ and posted such notice in and around the Court's facilities.

Prior to the adoption of the budget, the general public is invited to comment and provide input via U.S. mail or electronic feedback email by the end of business on Thursday, October 31, 2013. Please address or email your comments to:

Marvin Bell, Director of Finance
Superior Court of California
County of Santa Clara
191 N. First Street
San Jose, CA 95113
Email: AB973feedback@scscourt.org

Judicial Council of California

BASELINE BUDGET

Certification

Court: Superior Court - Santa Clara

Court Contact: Marvin Bell, Director of Finance

Phone:

E-mail Address:

Fiscal Year: FY 2013-14

Budget Prepared By: Logini Senthitathan, Management Analyst

Preparer's Phone:

E-mail Address: AB973feedback@scscourt.org

SUMMARY OF SUBMITTED BUDGET	General	Special Revenue Non-Grant	Special Revenue Grant	Capital Project	Debt Service	Proprietary	TOTAL
Beginning Balance	8,381,535	2,950,244	0	1,770,384	0	0	**13,102,163**
Current Year Financing Sources	88,168,562	3,734,820	4,588,753	1,729,616	0	0	**98,221,751**
Total Financing Sources	**96,550,097**	**6,685,064**	**4,588,753**	**3,500,000**	**0**	**0**	**111,323,914**
Total Expenditures	**95,515,927**	**3,282,038**	**4,588,753**	**3,500,000**	**0**	**0**	**106,886,718**
Fund Balance	**1,034,170**	**3,403,026**	**0**	**0**	**0**	**0**	**4,437,196**
Fund Balance Classifications							**0**
Nonspendable	0	0	0	0	0	0	**0**
Restricted	0	3,403,026	0	0	0	0	**3,403,026**
Committed	0	0	0	0	0	0	**0**
Assigned	1,034,170	0	0	0	0	0	**1,034,170**
Unassigned	0	(0)	0	0	0	0	**(0)**

CERTIFICATION

I HEREBY CERTIFY, to the best of my knowledge and belief, that the amounts stated herein and contained in the Baseline Budget detail documents included by reference above, fairly present a statement of all court estimated revenues (financing sources) and court expenditures in accordance with the reporting requirements adopted by the Judicial Council pursuant to authority granted by Government Code section 77206.

Signature of Presiding Judge or Executive Officer

Date

Schedule 1 - Baseline Budget
FY 2013-14

Superior Court - Santa Clara

Fund Condition Statement

	General - TCTF	General - Non-TCTF	General	Special Revenue Non-Grant	Special Revenue Grant	Capital Project	Debt Service	Proprietary	Total
Financing Sources									
Beginning Balance	826,023	7,555,512	8,381,535	2,950,244	-	1,770,384	-	-	**13,102,163**
Current Year Financing Sources									
Revenue	80,587,108	3,675,903	84,263,011	1,410,844	-	-	-	-	**85,673,855**
Reimbursements	5,707,798	485,000	6,192,798	2,153,976	4,201,122	-	-	-	**12,547,896**
Interfund Transfers	7,032,595	(9,319,842)	(2,287,247)	170,000	387,631	1,729,616	-	-	**-**
Prior Year Revenue Adjustment	-	-	-	-	-	-	-	-	**-**
Total Current Year Financing Sources	**93,327,501**	**(5,158,939)**	**88,168,562**	**3,734,820**	**4,588,753**	**1,729,616**	**-**	**-**	**98,221,751**
Total Financing Sources	**94,153,524**	**2,396,573**	**96,550,097**	**6,685,064**	**4,588,753**	**3,500,000**	**-**	**-**	**111,323,914**
Expenditures									
Personal Services	84,397,240	102,960	84,500,200	2,626,076	2,500,740	-	-	-	**89,627,016**
Operating Expenses & Equipment	9,615,008	1,120,443	10,735,451	555,962	1,664,289	-	-	-	**12,955,702**
Special Items of Expense	565,000	139,000	704,000	100,000	-	-	-	-	**804,000**
Capital Costs	-	-	-	-	-	3,500,000	-	-	**3,500,000**
Internal Cost Recovery	(423,724)	-	(423,724)	-	423,724	-	-	-	**-**
Prior Year Expense Adjustments	-	-	-	-	-	-	-	-	**-**
Total Expenditures	**94,153,524**	**1,362,403**	**95,515,927**	**3,282,038**	**4,588,753**	**3,500,000**	**-**	**-**	**106,886,718**
Fund Balance	**-**	**1,034,170**	**1,034,170**	**3,403,026**	**-**	**-**	**-**	**-**	**4,437,196**
Fund Balance Classifications									
Nonspendable	-	-	-	-	-	-	-	-	**-**
Restricted	-	-	-	3,403,026	-	-	-	-	**3,403,026**
Committed	-	-	-	-	-	-	-	-	**-**
Assigned	-	1,034,170	1,034,170	-	-	-	-	-	**1,034,170**
Unassigned	-	-	-	(0)	-	-	-	-	**(0)**
Total Fund Balance	**-**	**1,034,170**	**1,034,170**	**3,403,026**	**-**	**-**	**-**	**-**	**4,437,196**

Position Reporting

Court Employee Positions (FTEs)	General - TCTF	General - Non-TCTF	General	Special Revenue Non-Grant	Special Revenue Grant	Capital Projects	Debt Service	Proprietary	Total
Total Authorized FTEs Per Schedule 7A:	**775.69**	**3.40**	**779.09**	**0.93**	**20.10**	**0.00**	**0.00**	**0.00**	**800.12**

Schedule 1 - Baseline Budget
FY 2013-14

Superior Court - Santa Clara

Financing Sources

Account	Description	General - TCTF	General - Non-TCTF	Special Revenue Non-Grant	Special Revenue Grant	Capital Project	Debt Service	Proprietary	Total
	Beginning Balance	826,023	7,555,512	2,950,244		1,770,384			13,102,163
	Current Year Revenue								
812100	Program 45.10 - Operations	78,277,641		697,844					78,975,485
816000	Other State Receipts	2,309,467							2,309,467
821000	Local Fees Revenue		1,950,300						1,950,300
821200	Enhanced Collections			695,000					695,000
822000	Local Non-Fees Revenue								-
823000	Other		1,613,603	18,000					1,631,603
825000	Interest Income		112,000						112,000
826000	Investment Income								-
	Total Revenue	**80,587,108**	**3,675,903**	**1,410,844**	-	-	-	-	**85,673,855**
	Current Year Reimbursements								
831000	General Fund - MOU	53,000							53,000
832000	Program 45.10 - MOU	808,693							808,693
833000	Program 45.25 - Operations	750,500							750,500
834000	Program 45.45 - Operations	3,300,000							3,300,000
835000	Program 45.55 - Operations								-
837000	Improvement and Modernization Fund	795,605							795,605
838000	AOC Grants				2,734,021				2,734,021
839000	Non-AOC Grants				1,291,307				1,291,307
840000	County Program - Restricted Funds			330,132					330,132
850000	Reimbursements Between Courts								-
860000	Reimbursements - Other		485,000	1,823,844	175,794				2,484,638
	Total Reimbursements	**5,707,798**	**485,000**	**2,153,976**	**4,201,122**	-	-	-	**12,547,896**
	Interfund Transfers								
701100	Interfund (Operating) Transfers In	7,032,595		170,000	387,631	1,729,616			9,319,842
701200	Interfund (Operating) Transfers Out		(9,319,842)						(9,319,842)
	Total Interfund Transfers	7,032,595	(9,319,842)	170,000	387,631	1,729,616	-	-	-
	Total Current Year Financing Sources	**93,327,501**	**(5,158,939)**	**3,734,820**	**4,588,753**	**1,729,616**	-	-	**98,221,751**
890000	Prior Year Revenue Adjustment								-
	Total Financing Sources	**94,153,524**	**2,396,573**	**6,685,064**	**4,588,753**	**3,500,000**	-	-	**111,323,914**

Schedule 1 - Baseline Budget
Expenditure Summary
FY 2013-14

Superior Court - Santa Clara

Baseline Budget Expenditure Summary

Account	Description	General - TCTF	General - Non-TCTF	Special Revenue Non-Grant	Special Revenue Grant	Capital Project	Debt Service	Proprietary	Total
	Salary Savings %	9.22%							8.73%
	Positions:								
	Authorized Positions per Schedule 7A	776	3	1	20	-	-	-	800
	Personal Services:								
900000	Salaries	56,961,271	68,064	529,270	1,546,365	-	-	-	59,104,970
910000	Staff Benefits	36,003,513	34,896	2,096,806	954,375	-	-	-	39,089,590
914100	Salary Savings	(8,567,544)	-	-	-	-	-	-	(8,567,544)
	Total Personal Services	**84,397,240**	**102,960**	**2,626,076**	**2,500,740**	**-**	**-**	**-**	**89,627,016**
	Operating Expenses & Equipment:								
920001	General Expense	1,341,650	140,943	30,400	69,238	-	-	-	1,582,231
924000	Printing	181,000	-	4,000	-	-	-	-	185,000
925000	Telecommunications	745,000	-	-	-	-	-	-	745,000
926000	Postage	430,000	-	-	-	-	-	-	430,000
928000	Insurance	42,000	-	-	-	-	-	-	42,000
929000	In-State Travel	87,357	-	5,000	27,793	-	-	-	120,150
931000	Out-of-State Travel	14,900	-	-	100	-	-	-	15,000
933000	Training	98,000	-	-	-	-	-	-	98,000
934000	Security	-	-	-	308,712	-	-	-	308,712
935000	Facility Operations	1,277,204	-	-	-	-	-	-	1,277,204
936000	Utilities	163,000	-	-	-	-	-	-	163,000
938000	Contracted Services	3,222,493	900,000	516,562	1,258,446	-	-	-	5,897,501
940000	Consulting and Professional Services - County Provided	1,519,404	78,500	-	-	-	-	-	1,597,904
943000	Information Technology	425,000	-	-	-	-	-	-	425,000
945000	Major Equipment	-	-	-	-	-	-	-	-
950000	Other Items of Expense	68,000	1,000	-	-	-	-	-	69,000
	Total OE&E	**9,615,008**	**1,120,443**	**555,962**	**1,664,289**	**-**	**-**	**-**	**12,955,702**
	Special Items of Expense:								
965000	Jury Costs	565,000	139,000	94,500	-	-	-	-	798,500
972000	Other	-	-	5,500	-	-	-	-	5,500
973000	Debt Service	-	-	-	-	-	-	-	-
	Total Special Items of Expense	**565,000**	**139,000**	**100,000**	**-**	**-**	**-**	**-**	**804,000**
983000	Capital Costs	-	-	-	-	3,500,000	-	-	3,500,000
990000	Departmental Indirect Allocations	(423,724)	-	-	423,724	-	-	-	-
999910	Prior Year Expense Adjustments	-	-	-	-	-	-	-	-
	Total Program Expense	**94,153,524**	**1,362,403**	**3,282,038**	**4,588,753**	**3,500,000**	**-**	**-**	**106,886,718**

Schedule 1 - Fund Balance
Estimate of Constraints on Fund Balance
FY 2013-14

Superior Court - Santa Clara

****NOT A DISPLAY DOCUMENT TO BE POSTED AS PART OF SCHEDULE 1, FOR INPUT ONLY *****

To insert rows, click this button.

Fund Type	Classification	Category	Description (for all categories)	Amount
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Children's Waiting Room	$ 798,045
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Small Claims Advisory	$ 45,353
Special Revenue Non-Grant	Restricted	Restricted - Externally Imposed	Donations-Juvenile Justice Commission Fund	$ 664
Special Revenue Non-Grant	Restricted	Restricted - Externally Imposed	Donations-Special Events Fund	$ 1,701
Special Revenue Non-Grant	Restricted	Restricted - Externally Imposed	Donations -Beyond the Bench	$ 2,513
Special Revenue Non-Grant	Restricted	Restricted - Externally Imposed	Philanthropic Venture Foundation	$ 209
Special Revenue Non-Grant	Restricted	Restricted - Externally Imposed	CSW Trust (County Exec Office)	$ 1,112
Special Revenue Non-Grant	Restricted	Restricted - Externally Imposed	Chris Shaheen Charitable Foundation (CSCF)	$ 484
Special Revenue Non-Grant	Restricted	Restricted - Externally Imposed	Donations-Supervised Visitation	$ 2,543
Special Revenue Non-Grant	Restricted	Restricted - Externally Imposed	Vending Commission Fund	$ 13,303
Special Revenue Non-Grant	Restricted	Restricted - Externally Imposed	Donations-Don Sagaun Edwards Children Services	$ 9,828
Special Revenue Non-Grant	Restricted	Restricted - Externally Imposed	Donations-Family Court Drug Treatment Fund	$ 4,339
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Case Management System Applications	$ 550,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Court Data Purchase Portal	$ 16,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Kronos Licenses (Electronic Timecards)	$ 50,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	SAN Capacity Increase 30% 28 TB	$ 100,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Hardware Refresh	$ 96,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Case File Backscanning Project	$ 250,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Voice Mail System Replacement	$ 100,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Windows 7 Upgrade	$ 100,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Microsoft Exchange	$ 190,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Appeals Database Conversion	$ 25,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	HR Training Scheduer	$ 10,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	Sharepoint 2013 Migration	$ 15,000
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	CMS (2% Automation FY12/13)	$ 554,083
Special Revenue Non-Grant	Restricted	Restricted - Imposed by Law (Statutory)	PC/Printer Replacement	$ 466,849
General Fund -- Non-TCTF	Assigned	Assigned - One-Time Facility – Other Examples	Unanticpated Projects - Facilities	$ 18,575
General Fund -- Non-TCTF	Assigned	Assigned - One-Time Facility – Other Examples	Interpreter Relocation	$ 141
General Fund -- Non-TCTF	Assigned	Assigned - One-Time Facility – Other Examples	Palo Alto Courthouse-Parking Signage	$ 9,990
General Fund -- Non-TCTF	Assigned	Assigned - One-Time Facility – Other Examples	Ops-Criminal Realignment	$ 66,755
General Fund -- Non-TCTF	Assigned	Assigned - Operating and Emergency	Operating Emergency Reserves Payroll	$ 938,709
			Subtotal, Categorized Fund Balance	**$ 4,437,196**
			Subtotal, Unassigned Fund Balance	**$ (0)**
			Total, Fund Balance	**$ 4,437,196**